SPA/SPAC Updates

Wednesday, December 4, 2024

2:00PM

SPA Agenda

- Personnel Updates
- SPA Contact Us
- Team Updates
  - Research Security Updates
  - Proposal Team
  - Incoming Contracts Team
  - Outgoing Subaward Team
  - *NEW* Team Grants
- Kuali Updates

SPA Personnel Updates

New Staff

- Amanda Knott (Team Grants)
- Jonathan Tissue (Subaward Team)
- Angela Estevez (Incoming Contracts Team)
- Moriah Nkosi (Incoming Contracts Team)

Staff Changes

- Gabrielle Wolff no longer with SPA

Open Positions

- Director
- Coming Soon – Contracts Administrator I/II

Contact Us

For contact information and information on the responsibilities for each team please check out: https://www.umaryland.edu/spa/spa-contact-us/

- Team Red/Team White emails are no longer in use

Research Security Updates

Final Guidelines for Research Security Programs

| Cybersecurity	Foreign Travel Security	Research Security Training	Export Control (EC) Training
• Implement a cybersecurity program **one year** after publication of the final NIST cybersecurity resource (IR 8481: Cybersecurity for Research)	• Implement federal foreign travel security training to covered individuals (CI) within **1 year of availability** and at least every 6 yrs. • Organizational record of CI international travel when agency determines security risks warrant travel reporting for an R&D award	• Implement research security training; certify CI completion • Option A: NSF training modules • Option B: Non-federal training that covers: (1) Improper transfer of USG-supported R&D; (2) importance of International research & talent	• Provide EC training to CI working with controlled technology • Option A: Govt. training (BIS, DDTC) • Option B: Non-fed training with U.S. EC and compliance requirements & processes for reviewing foreign sponsors, collaborators, and partnerships

General consensus: Appreciation for flexibility, concern about agency latitude

Research Security Program – Status

Cybersecurity

Foreign Travel Security

Research Security Training

Export Controls Training
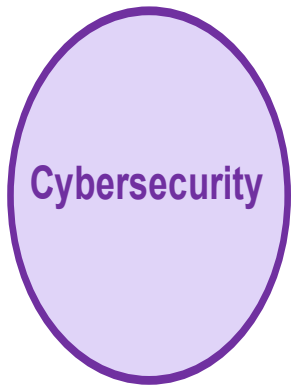
Training: Waiting

Training: Waiting

Org Record: Operational

Training: Developed

Training: Developed

Status: Hold

Status: Hold

Status: In Process

Status: Operational

Proposal Team Updates

SPA Proposal Team

Items to remember DOD Applications:

- 1 – DOD Applications need to have the Federal Identifier Number. It will be two letters followed by six numbers (Ex AB123456), and if you are a partnering PI you will still need to have the Federal Identifier but it will be followed by a P1 (Ex AB123456P1).

- 2 – The Attachments should be added in the opposite order that you want them to appear in, then when you print up the attachment pdf they will be in the correct order.

- 3 – The Attachments must be named exactly how they are listed in the announcement, if they different the system will not be able to determine what they are.

- 4 – When uploading attachments into KR if there is an attachment you are not using and it is between attachments needed for the system you will need to put in a place holder to keep the attachments in order, and name it how you would a normal DOD attachment.

- 5 – Under personnel tab in the attachment section you will add both the Biosketch and the Current and Pending attachments and they should be named how you are directed to in the announcement.

- 6 – The attachments should be listed under Attachment type and not Other. The Biosketch should be listed under Biosketch type and the Current and Pending should be listed under the Currentpending type, not Other.

PI/Key Personnel Certification Questions

The CHIPS and Science Act of August 9, 2022 requires federal research funding agencies to establish a policy by August 9, 2024 requiring All Key Personnel to certify that they are not a party to a Malign Foreign Talent Recruitment Program (MFTRP) as defined in the Act. Covered Individuals to disclose participation in Foreign Talent Recruitment Programs (FTRP) contracts, agreements, or other arrangements. Certification by the institution that such individuals have been made aware of the requirement.

The term ''Covered Individual'' means an individual who—

(A) contributes in a substantive, meaningful way to the scientific development or execution of a research and development project proposed to be carried out with a research and development award from a Federal research agency; and

(B) is designated as a covered individual by the Federal research agency concerned

Principal Investigators and Senior/Key Personnel are normally considered Covered Individuals and MUST answer the KR Certification Questions.

PI/Key Personnel Certification Questions

- Certifications are often incorrect

- Proposals with incorrect certifications – PIs will be asked to correct

- FCOI/Blanket IP waiver questions

  – Is this proposal to a sponsor/prime which requires COI disclosure, including FCOI, to be completed before the proposal submission even if there is nothing to disclose?

  – Is this proposal to be submitted to a sponsor, either directly or as a subawardee to a Prime Sponsor, which has public access or open-source requirements (data or software) or specific data management/sharing requirements that would normally require a waiver of the University of Maryland Intellectual Property policy?

  – *(more information for the above two PI certifications can be found in KR by clicking the "I" icon next to the question)*

PI/Key Personnel Certification Questions

FCOI

- https://www.umaryland.edu/coi/phs-regulations/
- Each individual participating in PHS-funded research is required to participate in mandatory training and submit disclosures. Negative disclosures are also required.
- Other sponsors follow the PHS regulations
  - https://www.umaryland.edu/spa/policies-and-procedures/conflict-of-interest-and-financial-coi/sponsors-following-phs-fcoi-regulations/

PI/Key Personnel Certification Questions

- Blanket IP Waiver for Public Access/Open Access
- More info here: https://www.umaryland.edu/spa/policies-and-procedures/intellectual-property/ip-waiver-for-open-source---public-access/
- List of sponsors: https://www.umaryland.edu/spa/policies-and-procedures/intellectual-property/sponsors-and-open-source-public-access-ip/
- An update to this will be coming

SPA Proposal Team Cont.

- 1 – Attachments names in KR should be smaller than 50 characters. The shorter the better. Do not use special characters or spaces in naming attachments or it will cause an error.

- 2 – You cannot have two attachments with the same name. We see this a lot with proposals that have subs. You will see the subs justification named justification and so is ours and that will cause an error.

Proposal Team

- Coming January 25th – **FORMS-I**
- https://grants.nih.gov/policy-and-compliance/changes-coming-2025/updated-forms-I-instructions

Incoming Contract Team Updates

Incoming Contracts Team

- New Contracts Administrators completing onboarding and training
- Contract negotiation assistance has expired
  - Any assignments to Takeia Bradley, Cassie Moore, or Stephanie Swann still outstanding after 12/6/24, will be reassigned for completion. Questions, send to SPA-Contracts@umaryland.edu NOTE: UMB emails to a member contract assistance team will not be replied to post 12/6/24

Incoming Contracts Team

- *COMING SOON!* SPA is currently working to merge into one form the unfunded agreement request forms for all unfunded agreement types
    - Until new form is released, please be sure to use the correct Data Use Agreement pdf questionnaire; entitled, "DUA Questionnaire" on the SPA webpage: https://www.umaryland.edu/spa/developing-proposals/unfunded-agreements/; and, for all other unfunded agreement types (MOU, CDA, etc), use the form entitled, "Unfunded Agreement Request"

Incoming Contracts Team

- The SPA "Signing Official" ("SO") for all NIH dbGaP requests should be Stacey Boyd, sboyd001@umaryland.edu
    - Please be sure to include the proper IT point of contact from your school IT department, if required by dbGaP request
- Any unfunded agreement modifications, including DUA renewals, do not require Kuali Research (KR) routing. If you are modifying an unfunded agreement, please submit via Kuali Build for SPA assignment using the Kuali Research Award# assigned to original unfunded agreement.

Incoming Contracts Team

- If you do not know the KR Award# for an unfunded agreement, please contact SPA-Contracts@umaryland.edu.

    - REMINDER: Initial or new unfunded requests must be submitted via KR

- Non-financial agreements (e.g., NCEs, carryover approvals, etc) do not require KR routing. Non-financial agreements should be submitted to SPA via Kuali Build (KB)

Incoming Contracts Team

- **Contract Routing Types**
  - Contract related routing KR in proposal development should only be as follows:
    - New
    - Continuation
    - Revision = Supplement
    - All others in drop-down; with exception to those below
- **DO NOT USE** the following proposal types for contract related routings:
  - All Changed/Corrected choices in dropdown; this option is used for S2S proposals only (this includes New; Changed/Corrected)
  - All Resubmission choices in dropdown – this to be used for (S2S)
  - Competing Renewal

  NOTE: Routings at KR routing review stage will be kicked-back proposal type correction if inappropriate
  https://www.umaryland.edu/kualicoeus/user-resources-and-help/proposal-entry/proposal-types/

Incoming Contracts Team

Contract Routing Information & Certifications

- **Before fully routing contract related proposal to SPA for assignment & review, please be sure:**
  - Budget is marked as "Complete",
  - All Attachments are marked as "Final", and
  - All information entered and PI certifications are accurate
    - **Some examples include:**
    - Performing Site (e.g., UMMS = UMMC)
    - Updated Compliance Information (IRB, IACUC); please also make a Note if external compliance applies
    - Subs indicated; but not entered
    - Supplemental tab (entered and correct)
    - If IDC requires waiver, must be obtained by Department
    - Questions in Questionnaire are appropriate for project and agreement
    - PI Certification is correct

Outgoing Subaward Team Updates

Outgoing Subaward Team

Reminder: Updated Sub Commitment Form (version 10/17/2024)

Reminder: Uniform Guidance Update

1. De minimis indirect cost rates

    o The de minimis rate for indirect costs has been increased from 10% to **15%** of modified total direct costs (MTDC), providing subrecipients with greater flexibility in cost allocation.

    o Subrecipients can opt for a lower de minimis rate than 15%.

2. The threshold for mandatory single audits has been raised from $750,000 to $1 million in federal expenditures, reducing the audit burden on smaller recipients.

Outgoing Subaward Team

How do I know if my award is a Federal Contract and not a Federal Grant?

1. Funding opportunity announcements will indicate when funding will be awarded under a Federal Contract.

2. If the award or solicitation contains references to the Federal Acquisition Regulations (FAR),

3. The contract number itself can also be a clue. For example: If the sponsor is the NIH, the Contract Number will begin with HHSN or N01.

Outgoing Subaward Team

Additional Federal Contract Fields in
KB (https://www.umaryland.edu/spa/collaborations-and-subrecipients/subrecipient-agreements/sole-source-justification-faq/)

1. Written approval from the federal agency, outlining details of the proposed subrecipient and the work they will perform (regardless of if it was included in proposal)

2. Sole Source Justification

3. Cost & Price Analysis

Introducing....

Team Grants!

Team Grants

Amanda Knott, Assistant Director

Email: SPA-Grants@umaryland.edu

Current Responsibilities:

- Post Award Prior Approvals for Federal Grants
- Backup for RPPR submissions

Kuali Updates

Kuali Build updates & reminders

- New decision tool and updated questions for the Award Modification (to help figure out when to use it) https://www.umaryland.edu/spa/award-management/award-modification/

- Delete your old copies of the PDF NCE form! (It was replaced by KB.)

- Don't submit temp account requests via KB – no change to this process (yet).

- Don't send something by KR, KB and email! (Yes, we've seen this.)

Kuali Research Reminders

Proposal Type

- Changed/corrected is used for S2S proposals – otherwise, don't use

- Revision = Supplement

- Resubmission (S2S) - is really just meant for S2S

https://www.umaryland.edu/kualicoeus/user-resources-and-help/proposal-entry/proposal-types/

Kuali Research reminders

- ICYMI: **"Short Project Name"** field
  - ○ Supplemental Info section – optional – 50 char.
- **Prime Sponsor** – the funding source for our Sponsor
  - ○ Examples: Our Sponsor is a University or the State of Maryland
  - ○ Should not match the Sponsor field!

Coming soon –
NIH Biosketch/Other Support Updates

- Your PIs should:
    - Create an ORCID ID
    - Create a SciENcv account using their ORCiD ID and ensure the accounts are linked
    - Link their eRA Commons and ORCiD ID accounts in your eRA personal profile.
    - Recommended to do by April 11th to be prepared for late May/early June deadlines.
- Starting May 25, NIH will require use of the Common Forms (Biosketch, Current & Pending), prepared in SciENcv, and a new Biographical Sketch Supplement.

Book Club

- Next SPA Book Club meeting is tomorrow!
- Chapter 4
- Information here: https://www.umaryland.edu/spa/research-administration-training/spa-book-club/

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